



## MALLESH BULUSU

**Principal, Internal Audit,**  
**Reporting to: VP, Head (FOC),**  
**Internal Audit**

Licensed CPA (USA), M.Com, Sigma Green  
Belt, ITIL, SAFe Agilist, Pursuing CIA

### PERSONAL DETAILS

+91 7702777610

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Flat 305, Leeladhar Enclave,  
Moti Nagar, Hyderabad-500018

English | Hindi | Telugu

### EXPERIENCE

Possess nearly 18 years of Consulting &  
Industry experience (13 years of  
Internal Audit & 5 years of Finance &  
Accounts experience) with a leading US  
based multinational companies

### APPLICATIONS & TOOLS

- SAP/QlikView (Data Analytics/  
PowerBI/Teamate/Concur/SFDC)
- Power Point
- MS Excel
- Visio



### SUMMARY

#### ➤ Expert Experience in skills like:

Internal Audit/Sarbanes Oxley (SOX 404) Design & Implementation/  
Risk Management & Controls/COSO Framework/Process  
Improvement (Lean Six Sigma Greenbelt/Business Process  
Transition/Team Management (International team) & Stakeholder  
Relationship/Training & Mentoring/Finance & Accounts  
(AP/T&E/AR/FA)/Data Analytics/Compliance (Policies &  
Procedures/Anti Bribery (FCPA)/Legal/ Regulatory Reporting)/Lean  
Agile/ACT & ITGC/Project Management/Global Audit Exposure

#### ➤ Industries worked:

Manufacturing/Information Technology/Service/Hospitality/  
Healthcare

#### ➤ Geographies worked: APJ/EMEA/NA/MENA

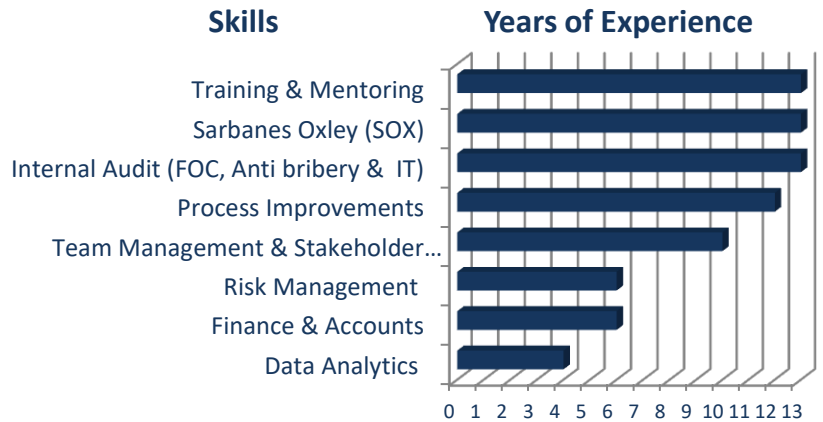
#### ➤ Major Client List:

GENPACT (GSK-Healthcare/HYATT-Hospitality), IBM (Applied  
Materials-semiconductor/IBM APJ Offices), EY, CA Technologies

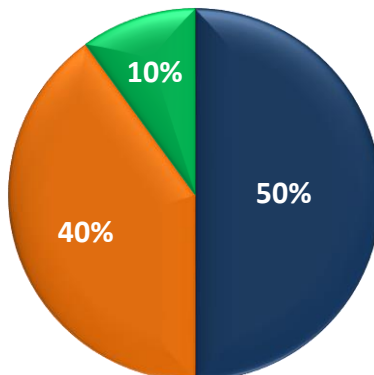
#### ➤ Team size handled: 25 Members Audit Team @ EY



### CORE SKILLS



### CURRENT ROLE



Internal Audit (Financial, Operational, Compliance/Fraud/IT Audits) & Managing process simplification projects along with Risk Assessment & Stakeholder Relationship

Sarbanes Oxley Project Management

Training/Coaching



## ACHIEVEMENTS

- Re-designed the audit planning process by developing & implementing “Risk-based audit approach which led to better risk coverage.
- Played a crucial role in setting up an Internal Control Process for EY Internal processes & managed a BPMS project for Business control standardization which led to improving process efficiency by approx**15%**
- Proven track record of building a team including hiring, training, coaching, & project management.
- Presented potential cost-savings or operations streamlining tactics to Senior Management in Finance &Accounts, Human Resources, Sales, Facilities that led to the savings of USD **2M**.
- Playing a pivotal role in implementing Data Analytics approach by replacing traditional sample-based testing where possible. This approach helps to focus on key risk areas rather than doing an audit in isolation & led to saving approx.**15%** of audit engagement time.
- Assisting in improving existing SOX program & taken ownership of **25%** of entire SOX deliverables.
- Successful in business partnering though receiving excellent feedback from various stakeholders regarding collaboration & value delivered on the audits conducted for their departments.
- Played a Key role in transitioning & managing outsourced review & SOX process for three big US multinational companies.
- Provided ongoing coaching & training to approx..**20** junior staff & guest auditors to ensure efficient execution of audit programs.
- Managed up to **20** Senior & Staff Auditors. Performed semiannual performance reviews & provided ongoing feedback regarding staff strengths & areas of improvement.
- Create Internal Controls awareness through conducting sessions with Key stakeholders & their teams, resulting in approx.**15%** reduction in audit observations.
- Recognized as best performer for the last three consecutive years.
- Ability to manage multiple tasks concurrently in an efficient & effective manner with minimal supervision
- Leadership skills, excellent written & verbal skills & demonstrated ability to present complex audit issues to management.
- Experience in using PowerPoint, Excel & Visio, SAP, QlikView, & Power BI. Exposed to a high growth &/or rapidly changing technology enterprise/manufacturing/service.



## ACADEMIA DECLARATION





# CAREER TIMELINE



# EXPERIENCE

## CA Technologies India Pvt Limited, Hyderabad Principal Internal Auditor & SOX Compliance-IT Product Company



- Conduct Risk Assessment for annual audit prioritization.
- Lead, manage or assist with the execution of Financial, Operational, Compliance, Fraud, & Data Analytics-driven audits at a regional & global level in accordance with department & professional standards, & complete assignments timely in an efficient manner.
- Operate autonomously in the planning, execution & wrap-up of internal audits with oversight from Internal Audit Leaders.
- Support the SOX PMO leader in the overall management of Internal Controls over Financial Reporting (ICFR). The responsibilities include annual SOX planning, process walkthroughs, design of new controls, testing existing controls (ACT, ITGC, Manual) as per testing cycles.
- Work closely with SOX PMO leader, audit colleagues & business units to oversee testing & report results to SOX PMO.
- Make improvement recommendations of the control environment through audits &/or Advisory/Process simplification projects.
- Perform Peer reviews as part of the Quality Assurance Review process.
- Serve as a business partner/trusted adviser to key stakeholders to advise on process, internal control, risk & compliance activities.
- Global exposure through managing auditors & stakeholders at different International locations. International travel in the current role is approximately 15 to 20%.
- Train/Mentor/Coach new team members & Junior auditors on audit & SOX assignments. Preparing training material (Standard Operating Procedures (SOP')).
- Support to manage project budget.

### 2012-Present



## E&Y, Bangalore

### Business Controls Manager



- Manage/coach a team of 20 auditors & reviewing their activities.
- Drive quality & process improvement in F&A function by conducting & supervising quality measurement audits & process studies. Report testing results to the Management (E&Y Internal) & the Clients through audit report.
- Review & audit of Financial, Operational, IT & Compliance Controls. Measure the Operational efficiency & effectiveness of each process (AP, AR, GL, Reporting, T&E & Customer Service) as part of the Internal Control Review & continuous audit methodology.
- Design new controls & testing the Operative effectiveness of existing controls as part of Internal Control Review & report results to Director-Internal Audit.
- Review Learning & Development plans for employees & induction programs for new hires.
- Execute process simplification projects & conducting controls awareness training to Operations teams.

### Apr'2011-Aug'2012



**IBM Daksh, Bangalore**



**Assistant Manager-SOX, SAS 70 & Internal Audit-IT Manufacturing Industry**

- Build international audit team in India to support financial controls testing (SOX) & regional audits.
- Hire, develop, mentor & manage India Internal Audit team of 5 auditors (offshore team)
- Support clients with SOX & Internal audit reviews.
- Discuss the exceptions with the Client Project Management Office.
- Prepare & submit testing templates & audit report to Project Management Office (PMO).
- Facilitate weekly cadence review call with PMO; Process Managers to update the open audit findings.

**Nov'2009-Apr'2011**



**GENPACT, Hyderabad**



**Subject Matter Expert (Internal & SOX audit for clients)-Hospitality Industry**

- Review Internal Audit (AP, AR & T&E) test work done by the team & report the observations to the Client Management.
- Examine the adequacy of the controls annual basis as part of Internal Audit Internal Control design team, review.
- Attend audit calls & review with the clients.

**May'2007-Nov'2009**



**GENPACT, Hyderabad**



**Subject Matter Expert (Quality Audits)-Healthcare Industry**

- Leading process audits for Accounts Payable & T&E.
- Review & monitoring SOX work performed by an offshore team.
- Implemented a few Lean ideas to eliminate Non Value Add (NVA) from the process.
- Completed transitions, i.e., understand the Process at a client site, Train the Pilot batch, preparation of SOP's & stabilize the process.

**Jan'2006-May'2007**



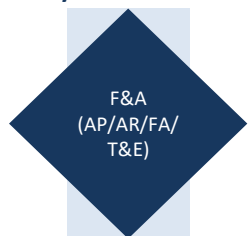
**Suguna International, Vishakhapatnam**



**Sr. Executive-Accounts-Manufacturing Industry**

- Audits-Internal & supporting external audits (Jan 2004-Jan 2006).
- Processing Vendor Invoices in the system & ensures all invoices (June 2003-Jan 2004).
- Accounts Payable-Invoice processing, T&E report review, & Invoice audit (May 2001-June 2003).
- Fixed Assets-Maintaining fixed asset register (May 2001-June 2003).
- Accounts Receivable-Billing, cash application, & reconciliations (2000 May-May 2001).

**May'2000-Jan'2006**



**DECLARATION**

**All the information mentioned in the resume are correct to the best of my knowledge & belief.**

Date:

Place :